

## **Meeting of the Secondary Markets Expert Group**



QUOTED  
COMPANIES  
ALLIANCE

**To be held on:** Wednesday 27 November 2019, 9.00am – 10.30am

**Venue:** Peel Hunt LLP, Moor House, 120 London Wall, London, EC2Y 5ET

### **AGENDA**

#### **1. Issues for discussion**

- a) CSDR update
- b) ESMA consultation: MAR Review Report
  - i. Questions 33, 35, 37 and 38
- c) Chairs to feedback on Alasdair Haynes meeting
- d) FCA on investment in illiquid securities

#### **2. Apologies**

#### **3. Minutes of the last meeting (4 September 2019)**

#### **4. Communications (information only)**

- a. The YouGov/QCA AIM Corporate Governance survey is on course for completion and is due to be released later this month. YouGov surveyed companies that adopted the QCA Corporate Governance Code to identify the ways in which we might be able to improve the Code and see if we can find evidence that following the Code has helped companies.
- b. The QCA and UHY Hacker Young are currently producing a good practice guide for governance on AIM. The guide is due to be released in December.
- c. The project for updating the QCA's Remuneration Committee Guide is due to get underway. The project will upgrade the existing Guide from 2016 and is due to be released in the first half of 2020.
- d. The dates and venues for the 2020 Secondary Markets Expert Group meetings are as follows:
  - i. Wednesday 21 January 2020, 4.30pm – 6.00pm, at Peel Hunt LLP, Moor House, 120 London Wall, EC2Y 5ET

- ii. Wednesday 8 April 2020, 4.30pm – 6.00pm, at finnCap, 60 New Broad Street, London, EC2M 1JJ
- iii. Wednesday 8 July 2020, 4.30pm – 6.00pm, at Peel Hunt LLP, Moor House, 120 London Wall, EC2Y 5ET
- iv. Wednesday 2 September 2020, 4.30pm – 6.00pm, at finnCap, 60 New Broad Street, London, EC2M 1JJ
- v. Wednesday 25 November 2020, 4.30pm – 6.00pm, at Peel Hunt LLP, Moor House, 120 London Wall, EC2Y 5ET

**Any other business**

**Next meeting:** Wednesday 22 January 2020, 4.30pm – 6.00pm. Venue: Peel Hunt LLP, Moor House, 120 London Wall, London, EC2Y 5ET